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Comparison of Transcatheter Edge-to-Edge Repair versus Medical Therapy in Patients with Secondary Mitral Regurgitation a Systematic Review and Meta-Analysis of Published Data

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Abstract

Background / Aim: Transcatheter edge-to-edge repair (TEER) is a widely spread treatment option in high-risk surgery patients with heart failure with reduced ejection fraction (HFrEF) and secondary mitral regurgitation (SMR). However, recent randomized controlled trials (RCT) have yielded conflicting results. The aim of this study was to perform a systematic review and meta-analysis of available published evidence comparing TEER with GDMT in patients with HFrEF and SMR.

Materials and Methods: We conducted a systematic search of five online databases (PUBMED / MEDLINE, Cochrane Library, EMBASE, Scopus and Web of Science) and current guidelines for appropriate RCT's and non-randomized controlled studies (non-RCT's). Two investigators independently extracted study data and assessed quality. The primary outcomes were heart failure (HF) hospitalizations, all-cause, and cardiovascular (CV) death. We performed a meta-analysis of relative risks (RRs) with 95% confidence intervals (CI) with the Mantel—Haenszel method using a random-effects model. Study risk of bias was assessed for all RCT's using Cochrane's Risk of Bias 2 (RoB2) tool.

Results: The literature search identified three appropriate RCT's (n = 1,423 patients) and six non-RCT's (n = 1,382 patients). Meta-analysis of the three RCT's revealed a significant reduction of HF hospitalizations (RR 0.71; 95% CI, 0.56 to 0.90; p=0.005) and non-significant trends towards reduced all-cause and CV death (RR 0.80; 95% CI, 0.63 to 1.02, p=0.07; and RR 0.81; 95% CI, 0.62 to 1.06, p=0.12; respectively) for TEER compared to GDMT. Adding non-RCT's increased the treatment effect for all three primary endpoints. Furthermore, patient-centred outcomes such as 6-minute walk distance, quality-of-life, and New York Heart Association class showed significant improvement with TEER versus GDMT.

Conclusion: In HFrEF patients with SMR, TEER results in significant reductions of HF hospitalizations, and non-significant trends towards a reduction in all-cause mortality and CV death compared to GDMT.

Kew Words: heart failure; secondary mitral regurgitation; transcatheter edge-to-edge repair; systematic review and metaanalysis

Introduction

Mitral regurgitation (MR) is the most prevalent valvular pathology with approximately 1.7% of the general population affected in the United States [1]. Up to one quarter of patients with heart failure and reduced ejection fraction (HFrEF) suffer from severe secondary (also known as Auctores Publishing – Volume 8(13)-508 www.auctoresonline.org ISSN:2641-0419

functional) mitral regurgitation (SMR) [2, 3]. SMR can be caused by alterations of the geometry of the left ventricle (LV) or the left atrium through mechanisms such as dilated or ischemic cardiomyopathies, myocardial infarction (MI) or atrial fibrillation (AF) [4]. In all cases, SMR

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increases LV volume overload and is associated with impaired prognosis [5].

Surgical repair or replacement is often considered in severe cases. However, approximately half of patients with severe symptomatic MR are denied surgery due to advanced age, comorbidities and/or poor left ventricular ejection fraction (LVEF) [6]. Furthermore, isolated mitral valve (MV) surgery has not been shown to provide any mortality benefit in patients with SMR [7]. Recently, transcatheter edge-to-edge repair (TEER) has been introduced as a safe and effective alternative to surgical repair: Recent multicentric post-approval registries with the latest-generation TEER devices document reductions of MR severity to mild or less in approx. 9 out of 10 patients with high periinterventional safety profiles (in-hospital mortality <2%) [8].

Whether effective TEER of SMR improves patient longevity and reduces HF hospitalizations compared to guideline-directed medical therapy (GDMT) is currently unclear. While observational data suggest improved outcomes of TEER over GDMT [9], recent randomized controlled trials (RCT) have yielded conflicting results [10, 11, 12, 13]. In surgical highrisk patients with severe SMR, current guidelines suggest considering TEER given anatomical suitability of the MV, but based on the current lack of convincing evidence the strength of recommendation is limited (class of recommendation IIa) [14].

Current RCT's are limited by small sample size and underpowered statistics. We hypothesize that this may have resulted in statistical type II errors rendering differences in endpoints insignificant in some trials [10, 11, 12, 13]. Moreover, the trials tended to test for combined endpoints and were generally underpowered to allow any conclusions on the individual components of the composite outcomes. Therefore, the purpose of the present study is to perform a systematic review and meta-analysis of available published evidence comparing TEER with GDMT in patients with HFrEF and SMR.

Materials and Methods

Literature search

We performed a systematic search of medical articles in peer-reviewed journals published in English, German and French in five online databases (PUBMED / MEDLINE, Cochrane Library, EMBASE, Scopus and Web of Science) using the following search terms in title, abstract or keywords: (mitral regurgitation OR mitral insufficiency) AND (edge-to-edge repair OR percutaneous repair OR MitraClip OR transcatheter valve repair OR transcatheter walve repair OR transcatheter mitral valve repair OR guideline-directed medical therapy OR guideline-recommended medical therapy OR medical therapy OR medical treatment). Furthermore, we manually searched the current guidelines for heart failure and valvular heart disease published by the European Society of Cardiology and the American College of Cardiology/American Heart Association.

Study selection / Eligibility criteria

For our main analysis we selected all RCT's comparing TEER and GDMT in HFrEF patients with SMR. Furthermore, we conducted an extended analysis including also non-randomized studies if they fulfilled the following criteria: (i) two-arm (TEER versus GDMT) clinical trial design, (ii) sample size of at least 80 patients, (iii) MR etiology secondary in at least 70% of patients and (iv) all-cause mortality reported at 12 months. An overview of the search strategy is presented in **Figure 1**. The

abstract screening process was conducted by one reviewer (J.S.) and the full text evaluation was carried out by two independent reviewers (O.G. and J.S.). No automation tools were used in the process.

Outcomes

The primary outcomes of interest were all-cause mortality, CV death and HF hospitalizations. Primary outcomes were assessed at the longest available follow-up. COAPT allowed study participants to cross over from the GDMT to the TEER group after two years. Therefore, data from the two-year follow-up was used even though the five-year follow-up has already been published. Secondary outcomes were residual MR severity, quality-of-life (according to the Kansas City Cardiomyopathy Questionnaire (KCCQ) overall score), 6-minute walking distance (6MWD), and New York Heart Association (NYHA) functional class. Secondary outcomes were assessed from baseline to 12 months follow-up. The latter secondary endpoints were only analysed for RCT's since no data was available for non-RCT-design studies on these endpoints.

Data extraction and quality assessment

Two reviewers (O.G. and J.S.) independently extracted data with regard to trial characteristics, potential bias and pre-specified outcomes. Any disagreements were solved by consensus. The study quality assessment comprised the risk of study bias, publication bias and the overall strength of evidence. The risk of study bias was assessed at the methodological and outcome level using Cochrane's risk of bias 2 (RoB2) tool [15]. Publication bias was evaluated using funnel plots [16] and the Harbord-Egger test for asymmetry [17]. Since Harbord-Egger test is unreliable for analysis of publication bias using fewer than ten studies, the Trim-and-Fill-Method was used to detect and, if necessary, impute any missing studies [18]. Finally, the Grading of Recommendations, Assessment, Development and Evaluation (GRADE) method was employed to evaluate overall quality of evidence (for RCT's only) with regard to study limitations, consistency and directness [19].

Data synthesis and statistical analysis

Meta-analysis was conducted using Cochrane's Review Manager (RevMan) Version 8.17.0 (The Cochrane Collaboration, London, United Kingdom) (https://revman.cochrane.org/info). Heterogeneity of trial results was assessed with Cochrane's *Q* and *I*² statistic [20] using the DerSimonian and Laird method. Statistical analysis of publication bias was performed with R version 4.1.2 (The R Foundation for Statistical Computing, http://www.R-project.org). We performed a meta-analysis of relative risks (RR) with 95% confidence intervals (CI) using the Mantel-Haenszel method and a random-effects model for analysis of prespecified outcomes. Numbers needed to treat (NNT) were calculated from absolute risk reductions. All statistical tests were two-sided, and p-values <0.05 were considered as statistically significant, except <0.10 for heterogeneity analyses, as recommended. For all primary endpoints we sequentially excluded each trial from the meta-analysis to assess its specific effect on the pooled relative risk in sensitivity analyses.

Regulatory aspects

Only outcome data from previously published trials was extracted and no active patient recruitment was performed. Therefore, no formal approval from the local institutional review board was necessary. In addition, all trials involved in the meta-analysis reported approval by their respective ethical regulatory authorities and having obtained written informed consent from each patient. Our analysis complied with the Declaration of

Helsinki, and the results were presented according to the PRISMA statement on systematic reviews and meta-analyses [21]. This study did not receive any direct funding.

Results

Literature search

The literature search covered five online databases and four current guidelines were searched manually. After removing duplicates, 1,332 records were screened for eligibility and out of these, 23 studies were considered for full-text evaluation. Fourteen articles were then excluded

because (i) the article was a substudy (n=4), (ii) the article was a conference record (n=3), (iii) all-cause mortality was not reported (n=2), (iv) the article did not compare TEER and GDMT (n=2), (v) the etiology of MR was primary in more than 30% of cases (n=1), (vi) the article considered the outcomes after repeat MitraClip intervention (n=1), (vii) there was a population overlap with another included study (n=1). Out of the remaining nine full-text articles, three RCT's [11, 12, 13] were included in the main meta-analysis. Additionally, six non-randomized studies [22, 23, 24, 25, 26, 27] were included for the extended meta-analysis. **Figure 1** shows the study flow diagram.

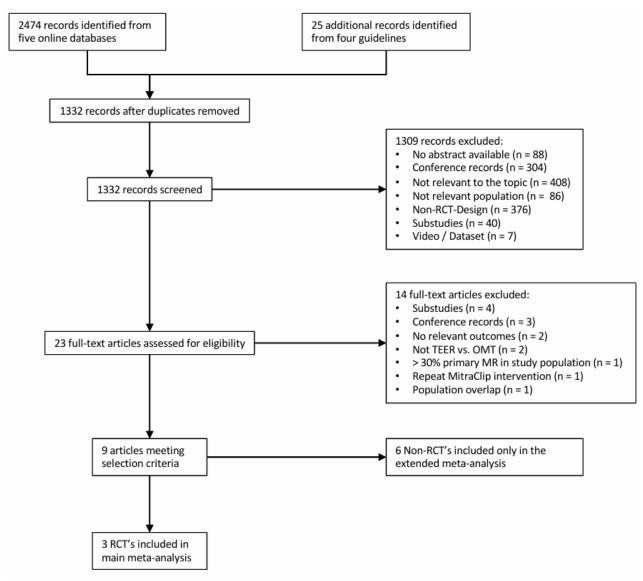


Figure 1: Study flow diagram.

Study characteristics

Table 1 and 2 provide an overview of important characteristics of included studies. The three RCT's included a total of 1,423 patients. A total of 704 patients were assigned to the TEER group and 719 patients were assigned to the GDMT group. In the TEER group all patients were treated with the MitraClipTM device on top of GDMT. In the control group, all patients were treated with GDMT in accordance with the current European or American practice guidelines at the time of the trial. All RCT's were multicentric and patients were followed for at least 24

months for the primary endpoints (weighted mean 20 ± 9 months). The inclusion criteria were comparable between the three trials. There were minor differences with regard to MR severity, and LVEDV among the three RCT's (**Table 1**) [28].

The six non-RCT's included a total of 1,382 patients of which 778 were assigned to TEER and 604 to GDMT. Four of them used propensity score matching to reduce inherent selection bias. Mean age and follow-up duration were comparable between RCT's and non-RCT's.

Trial	Year of Publication	Setting	Sample size (n)	Mean age (yrs)	Mean follow-up (months)	Primary effectiveness endpoints	LVEF (%)	LVEDV (mL)	EROA (mm²)	RegV (ml/beat)
COAPT [11]	2018	78 sites in the United States and Canada	614	72	20	(i) HF hospitalization	31	193	41	N/A
MITRA- FR [13]	2019	37 sites in France	304	70	24	(i) all-cause mortality or unplanned HF hospitalization	33	252	31	45
RESHA PE-HF2 [12]	2024	30 sites in Europe	505	70	19	(i) first or recurrent HF hospitalization and CV death, (ii) first or recurrent HF hospitalization, (iii) change in KCCQ score	31	205	23	36

Abbreviations: HF denotes heart failure; CV, cardiovascular; KCCQ, Kansas City Cardiomyopathy questionnaire; LVEF, left ventricular ejection fraction; LVEDV, left ventricular end-diastolic volume; MR, mitral regurgitation; EROA, effective regurgitant orifice area; RV, regurgitant volume.

LVEF, LVEDV, EROA and RegV are given as mean or median as determined in the original publication.

Table 1: Study characteristics of included RCT's

Trial	Year of Publication	Setting	Sample size (n)	Mean age (yrs)	Follow up (months)	Primary effectiveness endpoints	LVEF (%)
Armeni et al. [13]	2016	GDMT group: ANMCO registry, TEER group: two institutional databases, propensity score adjusted analysis	383	71	12	(i) life expectancy	33
Asgar et al. [23]	2017	GDMT group: single-centre retrospective cohort, TEER group: single-centre prospective cohort, propensity score adjusted analysis	92	72	12	(i) all-cause mortality	35
Giannini et al. [24]	2016	Single-centre prospective cohort, propensity score adjusted analysis	120	75	36	(i) overall survival, (ii) CV death, (iii) HF hospitalization	34
Jonik et al. [25]	2024	Single-centre retrospective cohort	185	71	29	(i) CV death	30
Swaans et al. [26]	2014	GDMT group: retrospective cohort, TEER group: prospective cohort	251	73	36	(i) overall survival	36
Velazquez et al. [27]	2015	GDMT group: Duke high-risk cohort, TEER group: EVEREST II HRR and REALISM registries, propensity score adjusted analysis	478	70	12	(i) overall survival	42

Abbreviations: GDMT denotes guideline-directed medical therapy; TEER, transcatheter edge-to-edge repair; HF, heart failure; CV, cardiovascular; LVEF, left ventricular ejection fraction; LVEDV, left ventricular end-diastolic volume; MR, mitral regurgitation; EROA, effective regurgitant orifice area; RV, residual volume.

Table 2: Study characteristics of included non-RCT's

Study quality and risk of bias

Globally, the three RCT's had low risk of bias when assessed with Cochrane's Risk of Bias 2 (RoB2) tool (**Table 3**) [15]. A more extensive risk of bias assessment based on individual endpoints (including secondary endpoints) is given in **Supplementary Figure 1**. Global assessment indicated some concerns regarding measurement of outcomes, which was mainly due to the lack of blinding of study participants and

personnel. However, it is unlikely that the primary efficacy and safety outcomes were influenced by this bias. Some secondary outcomes (i.e. KCCQ score, NYHA functional class and 6MWT) may have been influenced through knowledge of treatment assignment. Only the COAPT trial blinded study personnel for assessment of these outcomes [11]. Attrition rates were inconsistent throughout all RCT's but handled well in the statistical analysis. Visual inspection of funnel plots (**Supplementary Figure 2**) did not indicate any significant publication bias, and Harborg-

Egger tests did not show any statistical asymmetry. Trim-and-Fill-Method estimated no missing studies for the outcome HF hospitalizations. For all-cause mortality, the Trim-and-Fill-Method estimated two missing studies and for the outcome CV death one missing study was estimated. Overall, this results in a low to moderate risk of publication bias. **Supplementary Table 1** provides an overview of the quality of evidence evaluation using the GRADE method. All included outcomes can be considered direct.

Consistency of results with regard to direction and magnitude of effect was limited for most outcomes. This was mainly driven by heterogeneity of the results, which was at least moderate for most outcomes (except change in KCCQ score and NYHA class). The individual trials lacked precision, due to broad confidence intervals, but performing a meta-analysis produced more precise results. Thus, we rated the strength of evidence as moderate at least, according to the updated GRADE method.

	Bias arising from the randomization process	Bias due to deviations from intended interventions	Bias due to missing outcome data	Bias in measurement of the outcome	Bias in selection of the reported result
COAPT	•	0	0	0	•
MITRA-FR	•	0	0	?	•
RESHAPE-HF2	•	0	0	?	•
Judgment:	low risk of bias	moderate <u>risk of bias</u>	high <u>risk of bias</u>		

Table 3: Risk of bias summary for the three RCT's based on the Cochrane's Risk of Bias 2 (RoB2) tool [15]

Primary endpoints

Primary endpoints (HF hospitalizations, all-cause mortality and CV death) could be extracted from all three RCT's including a total of 1,423 patients (**Figure 2**). Meta-analysis revealed a 29% relative risk reduction for new or recurrent HF hospitalizations (RR 0.71; 95% CI, 0.56 to 0.90; p=0.005; NNT = 6) for TEER compared to GDMT. Moreover, there were nonsignificant trends towards reduced all-cause death (RR 0.80; 95% CI, 0.63 to 1.02, p=0.07; NNT = 14) and CV death (RR 0.81; 95%

CI, 0.62 to 1.06; p = 0.12; NNT = 19). Heterogeneity across RCT's was substantial for the endpoint HF hospitalizations (Q = 9.85; p = 0.007; $I^2 = 80\%$), and moderate for all-cause and CV death (Q = 4.10; p = 0.13; $I^2 = 51\%$, and Q = 3.86; p = 0.15; $I^2 = 48\%$, respectively). In the non-RCT's, two of the endpoints (HF hospitalizations and CV death) were not available in all studies (**Figure 2**). When non-RCT's were included in the meta-analysis, the treatment effect was increased for all three primary endpoints, and reductions in all-cause and CV death were rendered significant.



	Transcatheter edge-to-ed	dge repair	Guideline directed med	dical therapy		Risk ratio	Risk r	atio
Study or Subgroup	Events Total		Events Total		Weight M-H, Random, 95% Cl		M-H, Random, 95% CI	
.1.1 RCT								
COAPT	92	302	151	312	20.1%	0.63 [0.51, 0.77]	-	
ITRA-FR	85	152	94	152	20.7%	0.90 [0.75, 1.09]	-	
ESHAPE-HF2	110	250	178	255	21.7%	0.63 [0.54, 0.74]	-	
ubtotal (Walda)		704		719	62.6%	0.71 [0.56 , 0.90]	•	
otal events:	287		423					
est for overall effect: Z = 2	.84 (P = 0.005)							
eterogeneity: Tau ² (DLb) =	0.03; Chi ² = 9.85, df = 2 (P = 0.007); I ² =	= 80%					
1.2 Non-RCT								
sgar, A. et al. (2017)	8	50	24	42	6.9%	0.28 [0.14, 0.56]		
iannini, C. et al. (2016)	26	60	38	60	14.9%	0.68 [0.48, 0.97]	-	
onik, S. et al. (2024)	24	58	44	53	15.6%	0.50 [0.36, 0.69]		
ubtotal (Walda)		168		155	37.4%	0.50 [0.33 , 0.75]	•	
otal events:	58		106				•	
est for overall effect: Z = 3	.32 (P = 0.0009)							
eterogeneity: Tau ² (DL ^b) =	0.08; Chi ² = 5.68, df = 2 (P = 0.06); I ² =	65%					
otal (Wald ^a)		872		874	100.0%	0.63 [0.51 , 0.78]	•	
otal events:	345		529				,	
est for overall effect: Z = 4	.29 (P < 0.0001)						0.1 0.2 0.5 1	2 5
est for subgroup difference	es: Chi² = 2.15, df = 1 (P =	0.14), I ² = 53.4	4%				Favours TEER	Favours G
eterogeneity: Tau2 (DLb) =	0.05; Chi ² = 20.22, df = 5	(P = 0.001): I ²	= 75%					



	Transcatheter edge-t	o-edge repair	Guideline directed me			Risk ratio	Risk ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
1.2.1 RCT							
COAPT	80	302	121	312	18.7%	0.68 [0.54, 0.86]	
MITRA-FR	53	152	52	152	13.8%	1.02 [0.75 , 1.39]	-
RESHAPE-HF2	51	250	67	255	13.2%	0.78 [0.56 , 1.07]	
Subtotal (Walda)		704		719	45.7%	0.80 [0.63 , 1.02]	•
otal events:	184		240				-
est for overall effect: Z = 1.	83 (P = 0.07)						
Heterogeneity: Tau ² (DL ^b) =	0.02; Chi ² = 4.10 , df = 2	(P = 0.13); I ² =	51%				
.2.2 Non-RCT							
rmeni, P. et al. (2016)	20	232	27	151	6.1%	0.48 [0.28, 0.83]	
sgar, A. et al. (2017)	9	50	10	42	3.1%	0.76 [0.34 , 1.69]	
Giannini, C. et al. (2016)	23	60	39	60	10.9%	0.59 [0.41, 0.85]	
onik, S. et al. (2024)	14	58	29	53	6.5%	0.44 [0.26 , 0.74]	
Swaans, J. et al. (2014)	52	139	32	59	13.3%	0.69 [0.50 , 0.95]	
elazquez, E. et al. (2015)	54	239	77	239	14.4%	0.70 [0.52 , 0.94]	
ubtotal (Walda)		778		604	54.3%	0.62 [0.53 , 0.74]	•
otal events:	172		214				
est for overall effect: Z = 5.	63 (P < 0.00001)						
leterogeneity: Tau ² (DL ^b) =	0.00; Chi ² = 3.89, df = 5	(P = 0.57); I ² =	0%				
otal (Wald ^a)		1482		1323	100.0%	0.69 [0.60 , 0.80]	•
otal events:	356		454				
est for overall effect: Z = 4.	,						0.2 0.5 1 2
est for subgroup difference	. ,	,.				I	Favours TEER Favours 0
eterogeneity: Tau ² (DLb) =	0.02; Chi ² = 11.85, df = 3	B (P = 0.16); I ² =	32%				

(C)

	Transcatheter edge-to	-edge repair (Guideline directed me	edical therapy		Risk ratio	Risk ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
.3.1 RCT							
COAPT	61	302	97	312	25.2%	0.65 [0.49, 0.86]	
IITRA-FR	47	152	48	152	23.2%	0.98 [0.70 , 1.37]	
ESHAPE-HF2	41	250	47	255	21.4%	0.89 [0.61, 1.30]	
ubtotal (Wald ^a)		704		719	69.8%	0.81 [0.62 , 1.06]	◆
otal events:	149		192				
est for overall effect: Z = 1	I.55 (P = 0.12)						
eterogeneity: Tau ² (DL ^b) =	= 0.03; Chi ² = 3.86, df = 2	2 (P = 0.15); I ² =	48%				
3.2 Non-RCT							
iannini, C. et al. (2016)	14	60	35	60	17.2%	0.40 [0.24, 0.66]	
onik, S. et al. (2024)	10	58	20	53	13.0%	0.46 [0.24, 0.89]	
ubtotal (Wald ^a)		118		113	30.2%	0.42 [0.28 , 0.63]	•
otal events:	24		55				
est for overall effect: Z = 4	I.23 (P < 0.0001)						
eterogeneity: Tau ² (DL ^b) =	= 0.00; Chi ² = 0.10, df = 1	I (P = 0.75); I ² =	0%				
otal (Wald ^a)		822		832	100.0%	0.67 [0.49 , 0.92]	•
otal events:	173		247				
est for overall effect: Z = 2	2.51 (P = 0.01)						0.2 0.5 1 2
est for subgroup difference	es: Chi ² = 7.23, df = 1 (P	= 0.007), I ² = 86	.2%				Favours TEER Favours
eterogeneity: Tau2 (DLb) =	= 0.08; Chi ² = 11.96, df =	4 (P = 0.02); I ² =	67%				

Abbreviations: RCT denotes randomized controlled trial; TEER, transcatheter edge-to-edge repair; GDMT, guideline-directed medical therapy.

Figure 2: Results from meta-analyses presented as Forest plots for the primary endpoints (A) heart failure hospitalizations, (B) all-cause death, and (C) cardiovascular death.

Secondary endpoints

For the analysis of the secondary outcomes only data from RCT's was available (**Figure 3**). Changes in 6MWD favoured TEER by 38 m on average compared to GDMT (mean difference 38 m; 95% CI, 14 m to 63 m; p = 0.002). Similarly, quality-of-life as measured by KCCQ score

improved significantly more after TEER (mean difference 15 points; 95% CI, 11 to 18; p < 0.001). Meta-analysis also showed significant improvement for the endpoints NYHA functional class I or II at 12 months (RR 1.36; 95% CI, 1.19 to 1.55, p < 0.001) and MR grade ≤ 2 at 12 months (RR 2.20; 95% CI, 1.79 to 2.71; p < 0.001).



	Transcatheter edge-to-edge repair			Guideline di	rected medica	l therapy		Mean difference	Mean difference		
Study or Subgroup	Mean [meter]	SD [meter]	Total	Mean [meter]	SD [meter]	Total	Weight	IV, Random, 95% CI [meter]	IV, Random, 9	5% CI [meter]	
COAPT	-2.2	158.141139	302	-60.2	158.971696	312	40.0%	58.00 [32.91 , 83.09]			
MITRA-FR	25	237.873526	73	3 19	192.20933	57	9.6%	6.00 [-67.94 , 79.94]			
RESHAPE-HF2	34	105.9	250	5.1	97.6	255	50.3%	28.90 [11.13 , 46.67]		-	
Total (Walda)			625	5		624	100.0%	38.34 [13.56 , 63.13]		•	
Test for overall effect: Test for subgroup diffe Heterogeneity: Tau² (l	erences: Not app	licable	2 (P = 0.12); I² = 52%					-100 -50 0 Favours TEER	50 100 Favours GDMT	

(B)

	Transcatheter edge-to-edge repair			Guideline directed medical therapy				Mean difference	Mean difference	
Study or Subgroup	Mean [points]	SD [points]	Total	Mean [points]	SD [points]	Total	Weight	IV, Random, 95% CI [points]	IV, Random, 95	5% CI [points]
COAPT	12.5	31.280665	302	-3.6	33.560691	312	43.4%	16.10 [10.97 , 21.23]		-
RESHAPE-HF2	21.6	26.9	250	8	24.5	255	56.6%	13.60 [9.11 , 18.09]		-
Total (Walda)			552	:		567	100.0%	14.68 [11.31 , 18.06]		•
Test for overall effect:	Z = 8.52 (P < 0.0	0001)							-20 -10 0	10 20
Test for subgroup diffe	erences: Not appl	icable							Favours TEER	Favours GDMT
Heterogeneity: Tau ² ([DL^{b}) = 0.00; Chi ²	= 0.52, df = 1 (F	$P = 0.47$; I^2	= 0%						

(C)

	Transcatheter edge-	to-edge repair	Guideline directed m	Guideline directed medical therapy			Risk ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
COAPT	171	237	115	232	50.4%	1.46 [1.25 , 1.69]	-
RESHAPE-HF2	140	188	96	164	49.6%	1.27 [1.09 , 1.48]	
Total (Walda)		425		396	100.0%	1.36 [1.19 , 1.55]	•
Total events:	311		211				
Test for overall effect:	Z = 4.56 (P < 0.00001)						0.5 0.7 1 1.5 2
Test for subgroup diffe	erences: Not applicable						Favours GDMT Favours TEER
Heterogeneity: Tau ² ([OL^{b}) = 0.00; Chi^{2} = 1.50), $df = 1 (P = 0.22)$); I ² = 33%				

(D)

	Transcatheter edge-	to-edge repair	Guideline directed m	edical therapy		Risk ratio	Risk ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
COAPT	199	210	82	175	59.5%	2.02 [1.72 , 2.38]	-
RESHAPE-HF2	132	146	43	119	40.5%	2.50 [1.96 , 3.20]	-
Total (Walda)		356		294	100.0%	2.20 [1.79 , 2.71]	•
Total events:	331		125				
Test for overall effect:	Z = 7.48 (P < 0.00001)						0.5 0.7 1 1.5 2
Test for subgroup diffe	erences: Not applicable						Favours GDMT Favours TEER
Heterogeneity: Tau ² (E	OLb) = 0.01; Chi ² = 2.08	, df = 1 (P = 0.15)); I ² = 52%				

Abbreviations: RCT denotes randomized controlled trial; TEER, transcatheter edge-to-edge repair; GDMT, guideline-directed medical therapy.

Figure 3: Results from meta-analyses presented as Forest plots for the secondary endpoints (A) change in 6-min walking distance, (B) change in Kansas City Cardiomyopathy Questionnaire (KCCQ) overall score, (C) Patients in New York Heart Association (NYHA) class I and II, and (D) Patients with mitral regurgitation (MR) severity 1+ and 2+.

Sensitivity analysis

For the endpoint of HF hospitalizations, excluding either RESHAPE-HF2 [12] or COAPT [11] from the meta-analysis of RCT's rendered the pooled RR statistically non-significant. Exclusion of MITRA-FR [13] led to the result changing in favour of TEER (RR 0.63; 95% CI, 0.55 to 0.72, p < 0.001). Regarding all-cause death, exclusion of MITRA-FR [13] rendered the pooled RR significant in favour of TEER (RR 0.71; 95% CI, 0.59 to 0.86; p < 0.001) while exclusion of RESHAPE-HF2 [12] and COAPT [11] did not change the result significantly. Regarding CV death, sequentially excluding each of the three RCT's did not change the result significantly. In the expanded meta-analysis (including both RCT's and non-RCT's) only the exclusion of COAPT [11], Giannini et al. [24] and Jonik et al. [25] led to a change in significance for the endpoint of CV death.

Discussion

The main objective of the present report was to perform a systematic review and meta-analysis of published RCT's comparing TEER versus GDMT in patients with HFrEF and SMR. The RCT meta-analysis was further expanded by adding published non-randomized studies, while being well aware of the inherent biases and lower quality of the latter. Overall, we included 1,423 patients from three RCT's and 1,382 patients from six non-RCT's. The results of the meta-analysis can be summarized as follows: In HFrEF patients with SMR, TEER results in (i) a significant 29% reduction of new or recurrent HF hospitalizations compared to GDMT alone at approximately 2 years (weighted mean = 20 ± 9 months) of follow-up. (ii) All-cause and CV death did not change significantly, although there were numerical trends in favour of TEER (non-significant reductions by 20% and 19%, respectively). (iii) TEER was associated with significant improvements in 6MWD, quality-of-life (as assessed by the KCCQ score), better functional capacity by NYHA class, and significantly less MR burden. The results of the present study are in line with a previous meta-analysis published as a brief communication by Anker and colleagues [29]. Our data not only confirms previous reports. but also expands and strengthens the results by a systematic analysis of study biases and strength/quality of evidence (as recommended by PRISMA [21]), adding patient-reported outcome measures (PROM's) (e.g. KCCQ score) rather than only clinical endpoints, and including evidence from non-randomized trials.

Recurrent HF hospitalizations are an important concern in HFrEF patients and are associated with CV mortality and health-care costs. Approximately, 60-70% of total health-care expenditures for HF are driven by HF hospitalizations [30]. Hence, the significant 29% reduction with TEER observed in our meta-analysis is clinically relevant, contributes to lower disease burden, and may result in significant cost savings for HFrEF patients. In absolute terms, treating six patients with TEER can prevent one HF hospitalization over the ensuing 2 years. The reduction appears plausible, is in line with previous observations in nonrandomized studies [9], and is further substantiated by adding non-RCT's in the extended meta-analysis (Figure 2). However, a considerable heterogeneity should be highlighted (O = 9.85; p = 0.007; $I^2 = 80\%$ for the three RCT's included), with MITRA-FR appearing as the outlier in the sensitivity analyses. Indeed, there were important differences in baseline characteristics that may explain these findings: Patients included in MITRA-FR had larger LV volumes compared to the two other RCT's (Table 1). On the other hand, inclusion criteria for MR severity differed between the three RCT's: MITRA-FR enrolled patients on the basis of the 2012 ESC/EACTS guidelines on the management of valvular heart disease [31], which defined severe SMR at an effective regurgitant orifice area of 20 mm² (regurgitant volume at 30 mL/beat), while COAPT and RESHAPE-HF2 used more stringent criteria [32, 33]. As a result,

MITRA-FR enrolled patients with limited MR severity as opposed to rather dilated LV's, particularly when compared to COAPT. This apparent disparity between LV dimensions and MR severity and its implications for treatment success after TEER has been addressed by Grayburn and colleagues, and has led to the term of "proportionate" versus "disproportionate" SMR, which may explain some of the heterogeneity observed between RCT's for the primary endpoints [28].

The numerical reductions observed in all-cause (20%) and CV death (19%) (Figure 2) with TEER fell short of statistical significance in our meta-analysis. However, heterogeneity across trials was less than for the endpoint "HF hospitalizations" (Q = 4.10; p = 0.13; $I^2 = 51$ %, and Q =3.86; p = 0.15; I^2 = 48%, respectively), and the margin of the 95% CI overlapping the line of identity was small. When adding the results from non-RCT's in the expanded meta-analysis, the reductions in all-cause and CV mortality were rendered significant (31% and 33%, respectively; p < 0.001). Nonetheless, non-RCT's are inherently biased and degrade the overall quality of the meta-analysis, and therefore our results currently allow no firm conclusion on the effect of TEER over GDMT on all-cause and CV mortality. However, the observed lack of significance may still be attributed to a statistical type II error based on the limited sample size in the RCT's, and further randomized trials are needed to draw a definitive conclusion. The observed trends for reduced all-cause and CV death were very similar in magnitude indicating that any potential mortality benefit would be driven primarily by CV mortality. Moreover, it documents the high safety profile of the TEER procedure with no signal for increased overall or non-CV mortality.

TEER was associated with improved functional capacity and quality-oflife compared to GDMT alone (Figure 3): Changes in 6MWD favoured TEER, the average increment in 6MWD was 38 m larger with TEER compared to GDMT alone (p = 0.002). Similarly, more patients were in NYHA class I or II after TEER compared to GDMT (RR 1.36; p < 0.001). Quality of life as measured by KCCQ score improved significantly more after TEER (mean difference 15 points; 95% CI, 11 to 18; p < 0.001). Although, this result is only based on two RCT's (COAPT and RESHAPE-HF2), its magnitude should be pointed out (moderate to large increase in quality-of-life) [34]. When put into perspective, a KCCQ increase by 15 points over background therapy exceeds observed benefits of angiotensin receptor-neprilysin inhibitors (+1 point) [35], sodiumglucose cotransporter 2 inhibitors (+6 points) [36], and cardiac resynchronization therapy (+10 points) [37]. The MITRA-FR study employed the European Quality of Life 5-Dimensions (EQ5D) visual analogue scale and results were incomplete. EQ5D increased by 9 points in the TEER group (4 points more than in the GDMT group) [10], a result that can be considered a small to moderate improvement in quality-of-life [34] and is in line with the results of the two other RCT's.

Limitations

There are a number of limitations of our meta-analysis that should be mentioned. First, we conducted a study-level meta-analysis while a patient-level pooled analysis might have provided better evidence. Additionally, the study-level design precluded assessing specific subgroups for their respective benefits of TEER versus GDMT. Second, the sample size included in the three RCT's is still limited. A potential type II error for some of the primary endpoints cannot be excluded. Third, the lack of blinding of patients and personnel to the type of treatment may be perceived as a limitation of the RCT's included in the meta-analysis. However, currently, to our knowledge, no randomized sham-controlled trials of TEER versus GDMT are available. And finally, we expanded our meta-analysis by adding six non-randomized trials. Non-RCT's are inherently flawed by selection bias, hence the results of the expanded meta-analyses should be considered with caution.

Conclusion

In HFrEF patients with SMR, our meta-analysis showed that TEER results in a significant reduction of future HF hospitalizations, and non-significant trends towards lower all-cause and CV mortality compared to GDMT. Furthermore, we showed that TEER was associated with significant improvement in patient-centered outcomes (6MWD, NYHA functional class, and quality-of-life).

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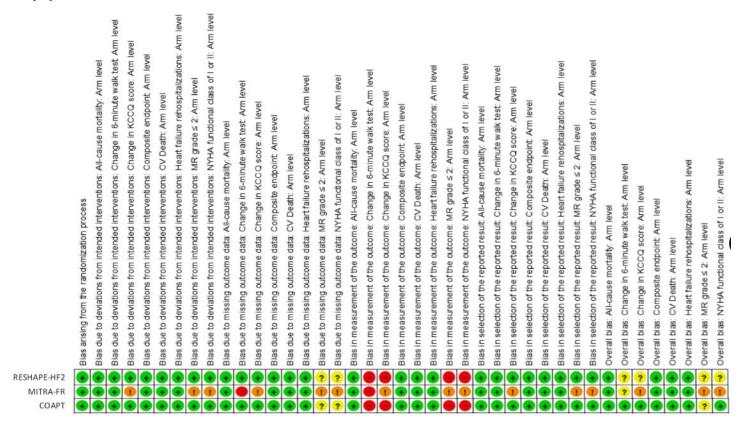
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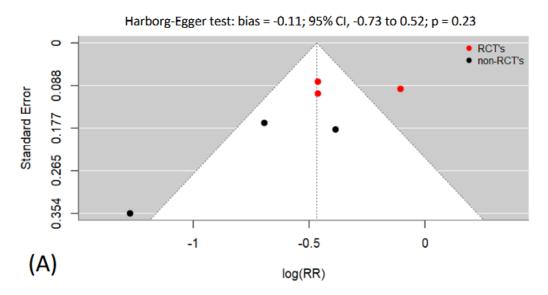
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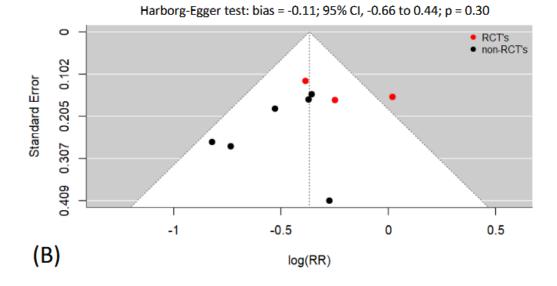
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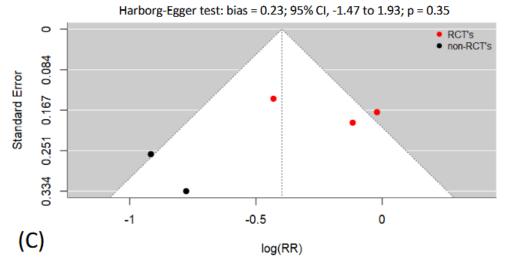
Supplementary Figure 1: Detailed risk of bias assessment for individual endpoints in the three RCT's based on the Cochrane's Risk of Bias 2 (RoB2) tool [15]



Supplementary Figure 2: Funnel plots and Harborg-Egger tests for the three primary endpoints of HF hospitalizations (A), all-cause death (B) and cardiovascular death (C)







Supplementary Table 1: Assessment of overall quality of evidence of included RCT's with the Grading of Recommendations, Assessment, Development and Evaluation (GRADE) method [19]

			Quality assessment					Sum	mary of finding	gs	
						No of patients		Effect			
Outcome (No of studies)	Design	Quality	Consistency	Directn ess	Other modifyin g factors	TEER	GD MT	Relative [95% CI]	Absolute	Quality	Importance
HF rehospitali zation (3)	RCT's	No serious limitations in study methods, low risk of bias	No serious differences in PICO; CI not overlapping on visual inspection of forest plot; $Q = 9.85$; p = 0.007 ; $I^2 = 80\%$	Direct	None	704	719	RR 0.71 [0.56 to 0.90]	ARR 18.06%, NNT = 6	Moderate	Critical
All-cause mortality (3)	RCT's	No serious limitations in study methods, low risk of bias	No serious differences in PICO; overlapping CI on visual inspection of forest plot; $Q =$ 4.10 ; $p = 0.13$; $I^2 =$ 51%	Direct	CI does not rule out the possibilit y of no effect	704	719	RR 0.80 [0.63 to 1.02]	ARR 7.24% NNT = 14	Moderate	Critical
CV death (3)	RCT's	No serious limitations in study methods, low risk of bias	No serious differences in PICO; overlapping CI on visual inspection of forest plot; $Q =$ 3.86 ; $p = 0.15$; $I^2 =$ 48%	Direct	CI does not rule out the possibilit y of no effect	704	719	RR 0.81 [0.62 to 1.06]	ARR 5.54%, NNT = 19	Moderate	Critical

Change in 6MWD (3)	RCT's	No serious limitations in study methods, concerns about measurement of the outcome	No serious differences in PICO; overlapping CI on visual inspection of forest plot; $Q =$ 4.16 ; $p = 0.12$; $I^2 =$ 52%	Direct	Imprecis e data	625	624	MD 38.34 [13.56 to 63.13]	N/A	Moderate	Important
Change in KCCQ (2)	RCT's	No serious limitations in study methods, concerns about measurement of the outcome	No important inconsistencies: no serious differences in PICO; overlapping CI on visual inspection of forest plot; $Q = 0.52$; p = 0.47; $I^2 = 0\%$	Direct	None	552	567	MD 14.68 [11.31 to 18.06]	N/A	Moderate to High	Important
NYHA functional class of I or II (2)	RCT's	No serious limitations in study methods, concerns about measurement of the outcome	No important inconsistencies: no serious differences in PICO; overlapping CI on visual inspection of forest plot; $Q = 1.50$; p = 0.22; $I^2 = 33\%$	Direct	None	425	396	RR 1.36 [1.19 to 1.55]	ARR - 19.89%, NNT = 6	Moderate to High	Important
MR grade ≤ 2 (2)	RCT's	No serious limitations in study methods, concerns about measurement of the outcome	No serious differences in PICO; overlapping CI on visual inspection of forest plot; $Q =$ 2.08; $p = 0.15$; $I^2 =$ 52%	Direct	Strong associati on	356	294	RR 2.20 [1.79 to 2.71]	ARR - 50.46%, NNT = 2	High	Important

Abbreviations: No denotes number; TEER, transcatheter edge-to-edge repair; GDMT, guideline-directed medical therapy; CI, confidence interval; RCT, randomized controlled trial; PICO, patient/intervention/comparator/outcome; RR, relative risk; ARR, absolute risk reduction; NNT, number needed to treat; HF, heart failure; CV, cardiovascular; 6MWD, 6-minute walk distance; MD, mean difference; KCCQ, Kansas City Cardiomyopathy Questionnaire; NYHA, New York Heart Association; MR, mitral regurgitation